

# Auditor's Annual Report to Somerset Council (Improvement Recommendations and Action Plan)

Generated on: 01 November 2024




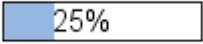




## IR1) Financial Sustainability Completing the review of the capital programme and challenging future capital bids in order to manage the capital financing requirement

Status	Action Code & Title	Progress Bar	Due Date	Assigned To	Managed By
	IR1 - 1 Maintain the capital financing requirement at affordable levels		31-Mar-2025	Service Director - Finance & Procurement	Executive Director - Resources & Corporate Service
	IR1 - 2 Review the current capital programme to identify schemes funded from borrowing that can be removed, delayed or funded from external funding		31-Mar-2025	Service Director - Finance & Procurement	Executive Director - Resources & Corporate Service
	IR1 - 3 Challenge capital bids that are funded by borrowing if they're not related to invest to save, statutory compliance or other regulatory responsibilities		31-Mar-2025	Service Director - Finance & Procurement	Executive Director - Resources & Corporate Service








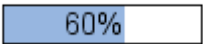
## IR2) Financial Sustainability Continuing to review and align the legacy HRA business plans inherited from predecessor councils to identify efficiencies and address the financial challenges identified to financial sustainability within the HRA

Status	Action Code & Title	Progress Bar	Due Date	Assigned To	Managed By
	IR2 - 1 Align HRA business plans inherited from predecessor councils		31-Mar-2025	Executive Director - Community Services	Executive Director - Community Services


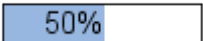
**IR3) Governance Implementing robust risk management reporting arrangements at Somerset Council**

Status	Action Code & Title	Progress Bar	Due Date	Assigned To	Managed By
	IR3 - 1 Consider the strengths and weaknesses identified in each of the predecessor council's risk management arrangements		31-Jul-2024	Service Director - Strategy & Performance	Executive Director - Strategy, Workforce & Localities
	IR3 - 2 Ensure that risk registers reported to the Audit Committee include details of actions being taken to mitigate risk		31-Jul-2024	Service Director - Strategy & Performance	Executive Director - Strategy, Workforce & Localities
	IR3 - 3 Map risks in the strategic risk register to the priorities within the Council Plan		31-Jul-2024	Service Director - Strategy & Performance	Executive Director - Strategy, Workforce & Localities









**IR4) Governance Implementing robust arrangements for preventing and detecting fraud and corruption at Somerset Council**






Status	Action Code & Title	Progress Bar	Due Date	Assigned To	Managed By
	IR4 - 1 Consider the strengths and weaknesses identified in each of the predecessor council's arrangements		31-Mar-2025	Head of Corporate Finance; Service Director - Finance & Procurement	Executive Director - Resources & Corporate Service
	IR4 - 2 Ensure that robust policies are in place and regularly reviewed		31-Mar-2025	Head of Corporate Finance; Service Director - Finance & Procurement	Executive Director - Resources & Corporate Service
	IR4 - 3 Provide regular training to staff		31-Mar-2025	Head of Corporate Finance; Service Director - Finance & Procurement	Executive Director - Resources & Corporate Service
	IR4 - 4 Approve and report progress against an Annual Anti-Fraud Plan		31-Mar-2025	Head of Corporate Finance; Service Director - Finance & Procurement	Service Director - Finance & Procurement

**IR5) Governance Ensuring that there is sufficient capacity in place and staff are suitably trained in order to respond to Ombudsman investigations completely and within agreed timescales**

Status	Action Code & Title	Progress Bar	Due Date	Assigned To	Managed By
	IR5 - 1 Ensure sufficient capacity and staff are suitably trained to respond to Ombudsman investigations completely and within agreed timescales		31-Mar-2025	Strategic Manager - Customer Insight Experience & Standards; Service Director - Customers	Executive Director - Community Services

**IR6) Improving Economy, Efficiency and Effectiveness Implementing robust arrangements for benchmarking service cost and performance at Somerset Council**

Status	Action Code & Title	Progress Bar	Due Date	Assigned To	Managed By
	IR6 - 1 Consider the strengths and weaknesses identified in each of the predecessor council's benchmarking arrangements		31-Mar-2025	Service Director - Strategy & Performance	Executive Director - Strategy, Workforce & Localities
	IR6 - 2 Develop a corporate-wide approach to routinely benchmarking performance		31-Mar-2025	Service Director - Strategy & Performance	Executive Director - Strategy, Workforce & Localities
	IR6 - 3 Ensure the data submitted in statistical returns is robust and up to date to allow for meaningful benchmarking		31-Mar-2025	Service Director - Strategy & Performance	Executive Director - Strategy, Workforce & Localities
	IR6 - 4 Fully utilise the capabilities of the CFO Insights benchmarking tool		31-Mar-2025	Service Director - Strategy & Performance	Executive Director - Strategy, Workforce & Localities

Action Status	
	Cancelled
	Overdue; Neglected
	Unassigned; Check Progress
	Not Started; In Progress; Assigned
	Completed