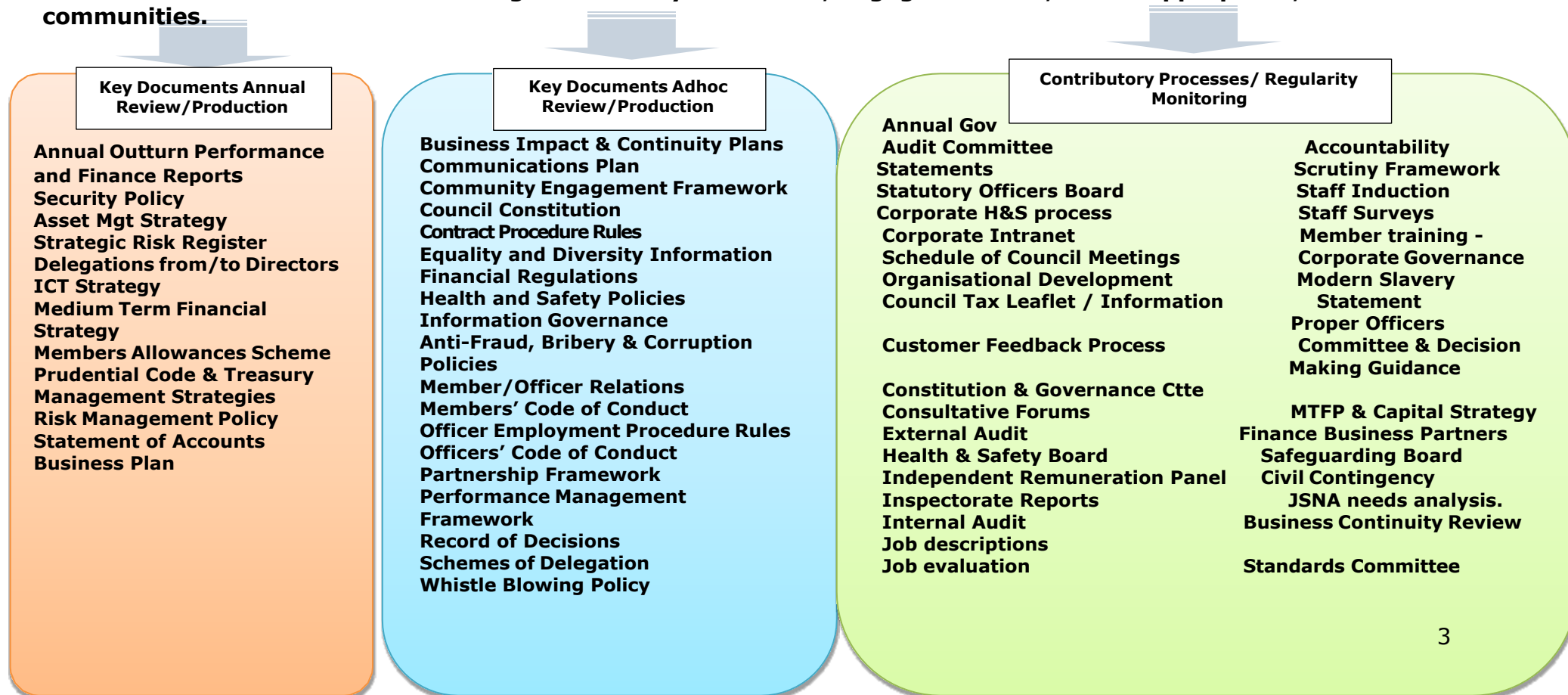


Annex 2 Single Sheet Framework

Governance Framework - Principles, Statutory Obligations and Organisational Objectives

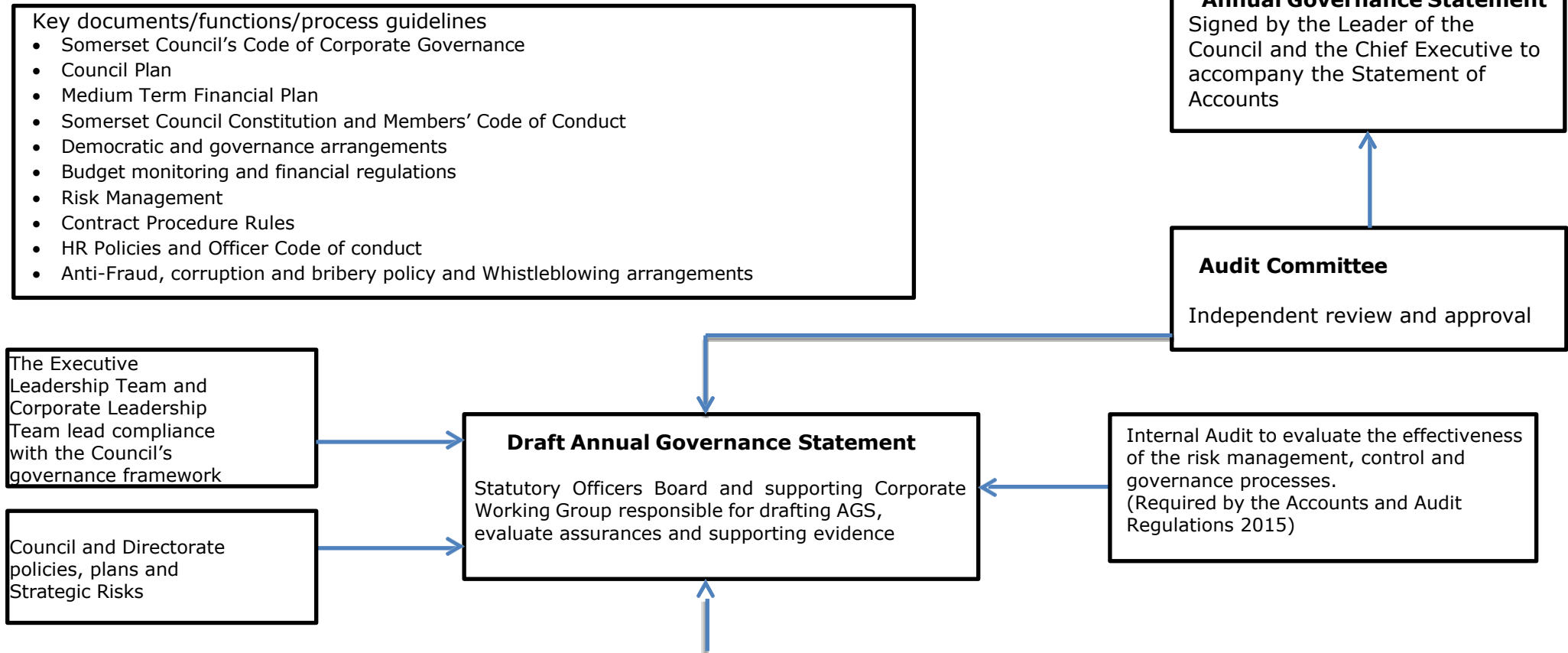


Corporate Governance comprises the systems and processes, cultures, and values, by which local government bodies are directed and controlled and through which they account to, engage with and, where appropriate, lead their communities.



APPENDIX 2

THE ANNUAL GOVERNANCE STATEMENT - FRAMEWORK



Provision of ongoing assurance on adequacy and effectiveness of controls over key risks

Performance Management and Data Quality	External Inspections – e.g. Ofsted, Care Quality Commission)	Financial Control Assurance (S151 Officer)	Legal and Regulatory Assurance (Monitoring Officer)	Members' Assurance	Internal Audit	External Audit	Risk Management	Assurance by Executive Directors & Service Directors
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THE AGS – ASSURANCE GATHERING PROCESS

Stage 1 – Establish principal statutory obligations and organisational objectives

These are set out in the Council Plan and MTFP

Stage 2 – Identify principal risks to achieving Corporate Priorities

The Strategic Risk Register sets out the principal strategic risks to achieving the Council's priorities.

Stage 3 - Identify and evaluate key controls to manage principal risks

The Statutory Officers Board will review and evaluate the key controls for the governance framework. Each control is evaluated. Controls will be crossed reference to the Audit Universe and evaluated through that process to ensure that they are effective. (see Appendix 3)

Stage 4 – Obtain assurances on effectiveness of key controls

The Statutory Officers Board will seek assurance from Executive Directors and Service Directors on the effectiveness of key controls and compliance across the Council.

Stage 5 – Evaluate assurances and identify gaps in control / assurances

See Appendix 4 (Local Code of Governance) and Appendix 5 (Governance Issues 2023/24).

Stage 6 – Action Plan to address weaknesses and ensure continuous improvement of the system of corporate governance

See Appendix 6 AGS Action Plan

Stage 7 – Annual Governance Statement

Developed by the Statutory Officers Board.

Stage 8 – Report to Members

Considered by the Audit Committee and then signed off by the Leader of the Council and the Chief Executive

Contact Officer: Scott Wooldridge, Interim Head of Governance & Democratic Services